

# Kap. 3

## States of aggregation

- Formula and names
  - Weak chemical bonds
  - Chemical names and formulae
  - Polymorphism and other transformations
- Macrostructures, microstructures and nanostructures
  - Structures and microstructures
  - Crystalline solids
  - Noncrystalline solids
  - Partly crystalline solids
  - Nanostructures
- The development of microstructures
  - Solidification
  - Processing
- Defects
  - Point defects in crystals of elements
  - Solid solutions
  - Schottky defects
  - Frenkel defects
  - Nonstoichiometric compounds
  - Edge dislocations
  - Screw dislocations
  - Partial and mixed dislocations
  - Multiplication of dislocations
  - Planar defects
  - Volume defects; precipitates

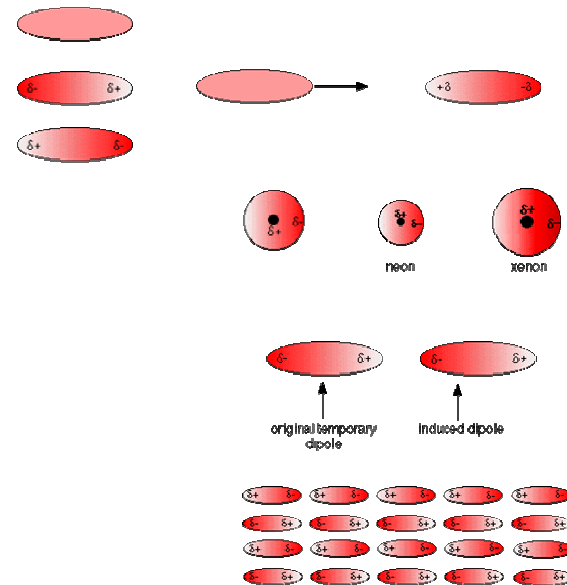
## Chemical bonds

Table 3.1 Forces between atoms, ions and molecules

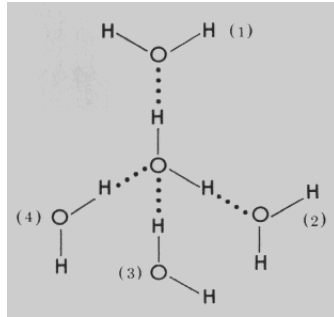
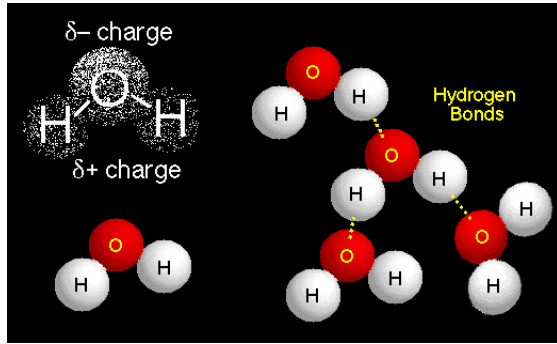
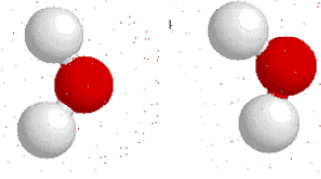
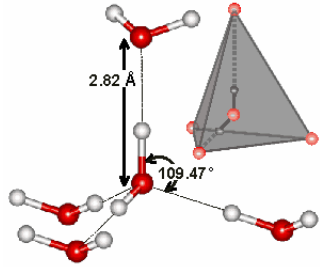
Type of bond	Approximate energy/kJ mol <sup>-1</sup>	Species involved
Covalent	350	Atoms with partly filled orbitals
Ionic	250	Ions only
Metal	200	Metal atoms
Ion-dipole	15	Ions and polar molecules
Dipole-dipole	2	Stationary polar molecules
Dipole-dipole	0.3	Rotating polar molecules
Dispersion	2	All atoms and molecules
Hydrogen bond	20	N, O or F plus H

$$V(r) = 4V_{\min} \left[ \left( \frac{r_0}{r} \right)^6 - \left( \frac{r_0}{r} \right)^{12} \right]$$

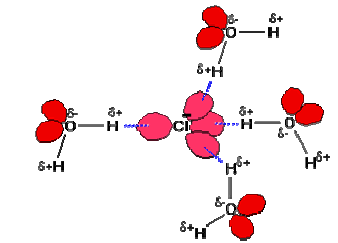
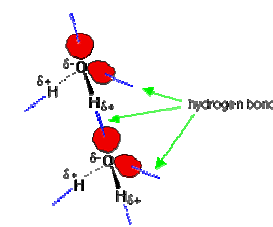
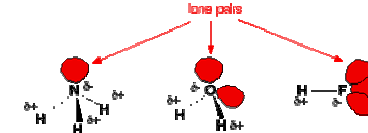
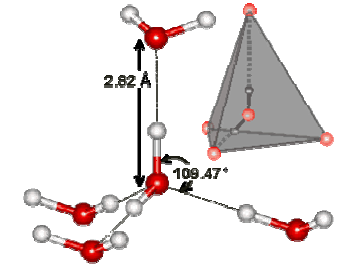
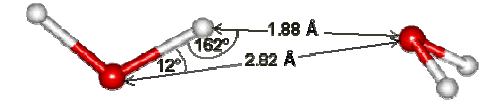
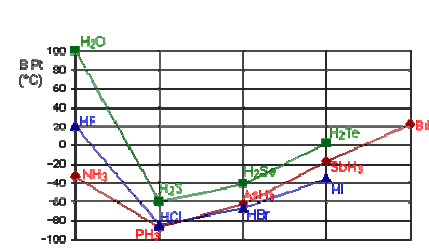
## Van der Waals interactions



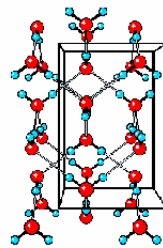
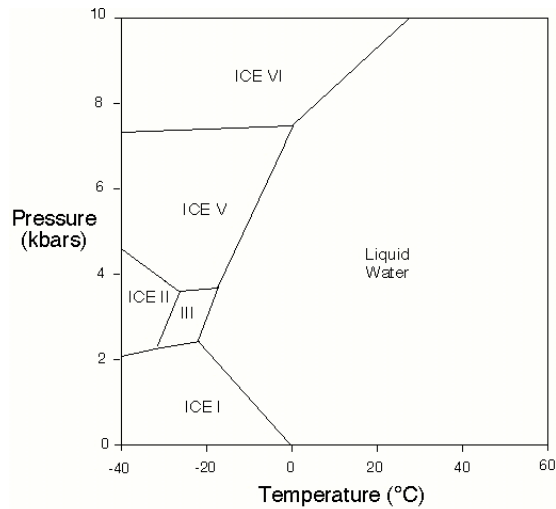
# Hydrogen bonds



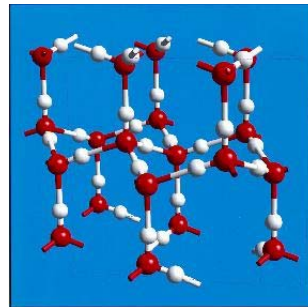
# Hydrogen bonds



# Polymorphism

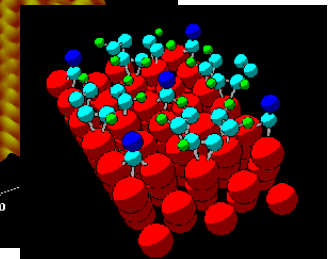
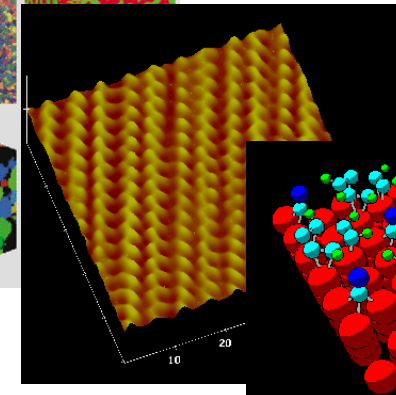
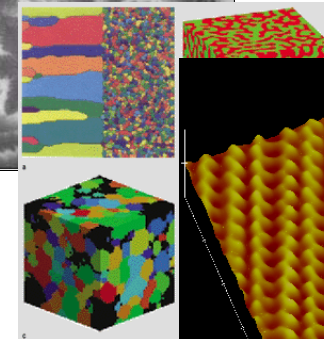
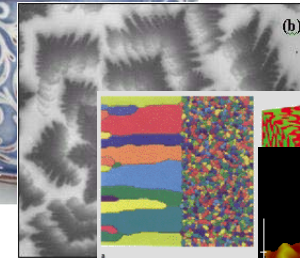


Ice VIII

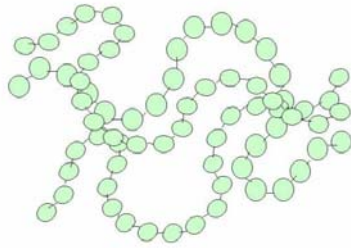
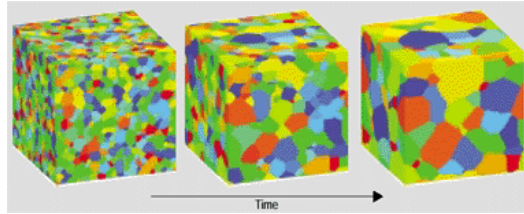


Ice 1h

# Macrostructure -> Microstructure



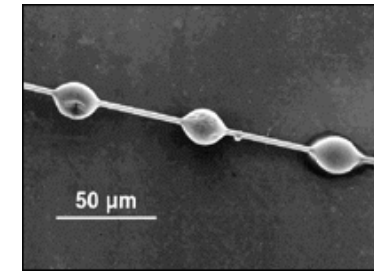
# Crystal - amorphous



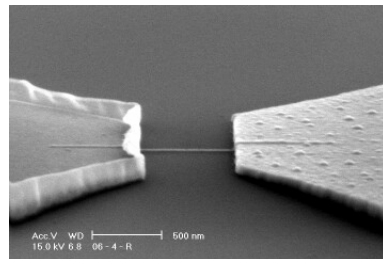
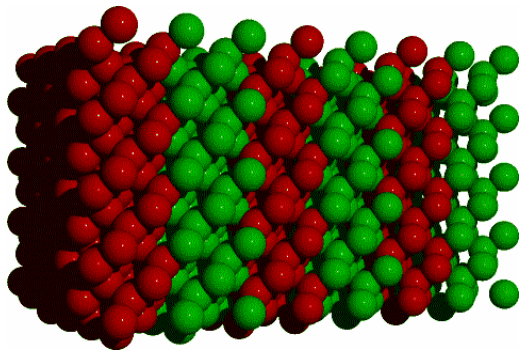
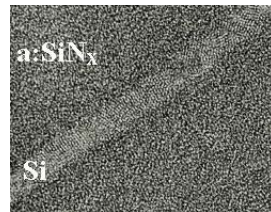
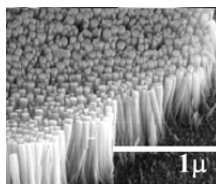
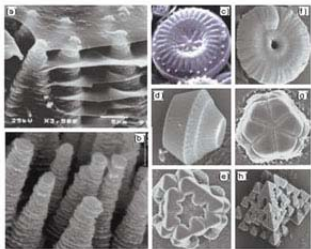
# Functional material



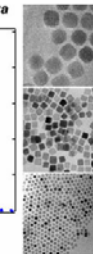
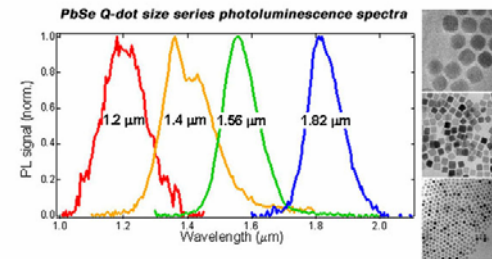
© Sam O'Neill - Nexia Biotechnologies Inc.



# Nanostructures

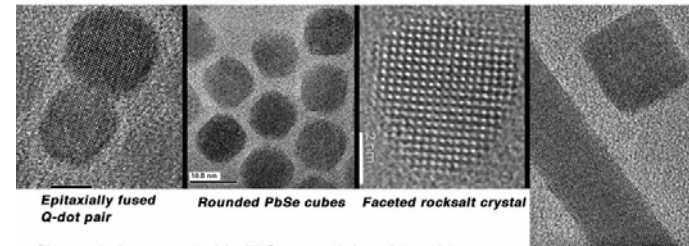


## PbSe Nanocrystals



Low-resolution TEM images of monodisperse PbSe spheres, rounded cubes, and cubes

Hi-resolution TEM images of PbSe Q-dots



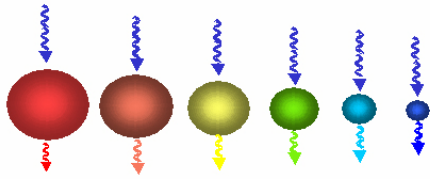
Epitaxially fused Q-dot pair      Rounded PbSe cubes      Faceted rocksalt crystal Q-dot pair

PbSe cube and wire comprising oriented fused cubes

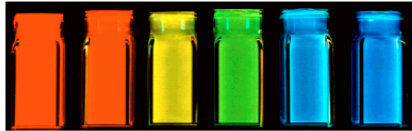
Size and shape control in PbSe growth is achieved by controlling temperature, reaction time, solvent/stabilizer identity, and precursor concentration.

Preparations are based on modifications of:  
C. B. Murray, et al. *IBM Journal of Research and Development* 45, 47 (2001) and B. L. Wehrenberg, C. J. Wang, and P. Guyot-Sionnest *J. Phys. Chem. B* 106, 10634 (2002)

Emission wavelengths important for the telecommunications industry (1.3 and 1.5 μm) are easily achieved with Q-dot photoluminescence quantum yields as high as ~80%.

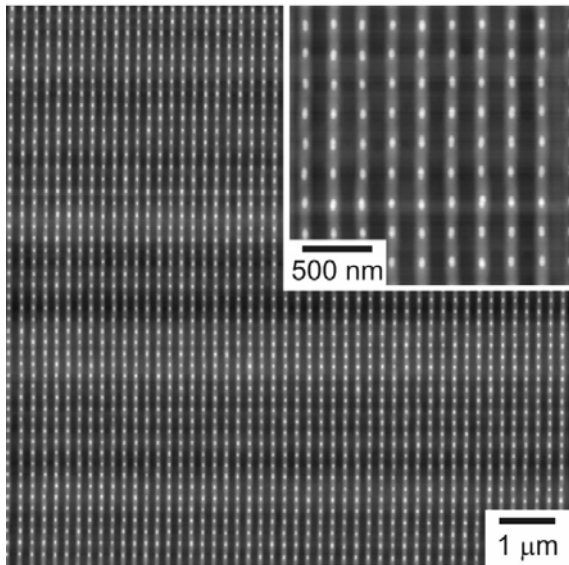
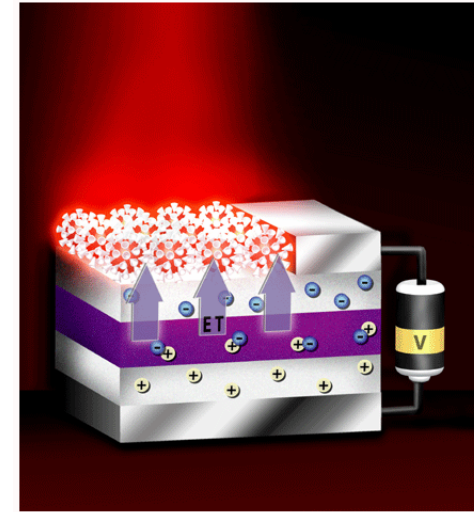


Nanocrystals absorb light then re-emit the light in a different color – the size of the nanocrystal (at the Angstrom scale) determines the color



Felix Frankel

Six different quantum dot solutions are shown excited with a long wave UV lamp



# Defects

# Perfect Crystal

•A perfect crystal with every atom in the correct position does not exist. Only a hypothetical situation at 0 K

•“Crystals are like people: it is the defects in them which tend to make them interesting!” - Colin Humphreys

•Most materials properties are determined by the crystal defects present.

# Defects

Usually few defects:  $\frac{\text{No. defects}}{\text{No. atoms}} \approx \frac{1}{10^{15}}$  to 0.1 – 1 %

If the defect concentration becomes too high, defect-defect interactions occur.



Defect clustering

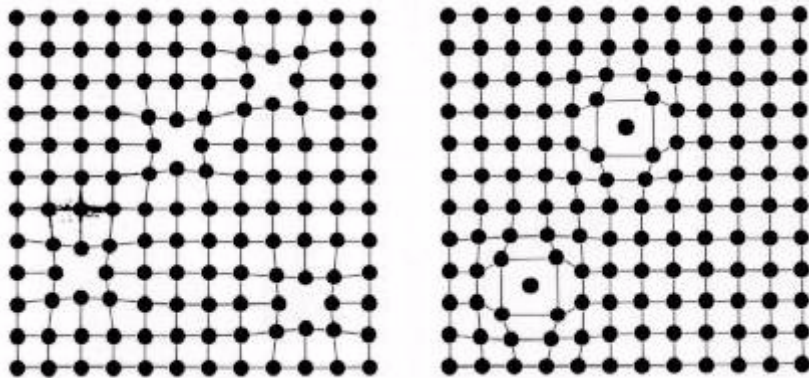
Stoichiometric defects, viz. no alteration in composition

Vacancies (empty positions)

Interstitial (“between lattice” points)

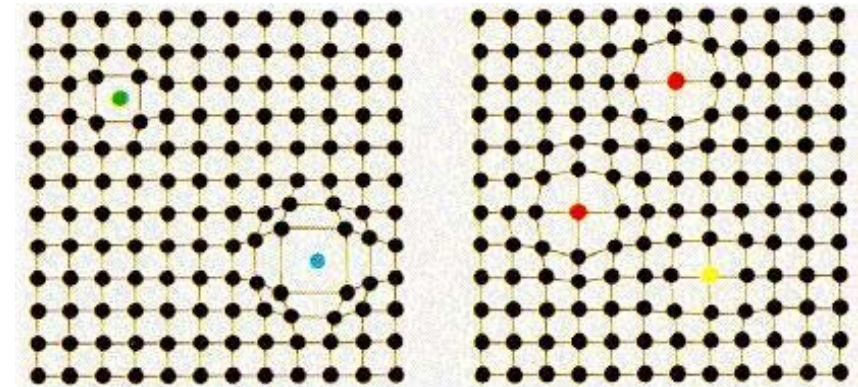
Wrong type atoms

# Vacancies and Interstitials



Cotterill 1985

# Impurity Atoms



interstitial impurity.

substitutional impurity

The colored atoms are impurity atoms. They are atoms of a different element.

Cotterill 1985

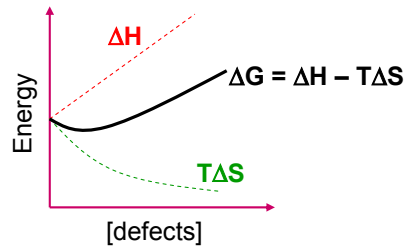
# Thermodynamics

Heat of formation  $\Delta H$   
 Configurational entropy  $S = k \ln(W)$

Temperature dependency:  $\Delta G = \Delta H - T\Delta S$   
 [defects] increases with temperature

Different types of defects in one phase:  
 each type of defect:  $\Delta G_i = \Delta H_i - T\Delta S_i$

One dominating type of defects.

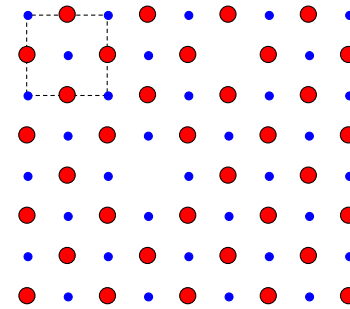


Formation of defects:  
 cost energy but gain entropy

$$\frac{n_d}{N} \approx \exp\left(\frac{-\Delta H}{RT}\right)$$

# Schottky defects

The (100) for NaCl-type structure



Equal amount of anion and cation vacancies



$$\frac{n_d}{N} \approx \exp\left(\frac{-\Delta H_s}{2RT}\right)$$

Alkaline halides	NaCl-type
Earth alkaline halides	NaCl-type
Cs-halides	CsCl-type
BeO	Wurtzite-type

**Table 3.3** The formation enthalpy of Schottky defects,  $\Delta H_s$ , in some alkali halide compounds of formula  $MX$

Compound	$\Delta H_s/J$
LiF	$3.74 \times 10^{-19}$
LiCl	$3.39 \times 10^{-19}$
LiBr	$2.88 \times 10^{-19}$
LiI	$1.70 \times 10^{-19}$
NaF	$3.87 \times 10^{-19}$
NaCl	$3.75 \times 10^{-19}$
NaBr	$2.75 \times 10^{-19}$
NaI	$2.34 \times 10^{-19}$
KF	$4.35 \times 10^{-19}$
KCl	$4.06 \times 10^{-19}$
KBr	$3.73 \times 10^{-19}$
KI	$2.54 \times 10^{-19}$

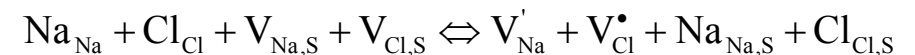
Note: all compounds listed have the halite structure

# Kroger-Vink notation

Each defect is represented by a combination of three symbols:

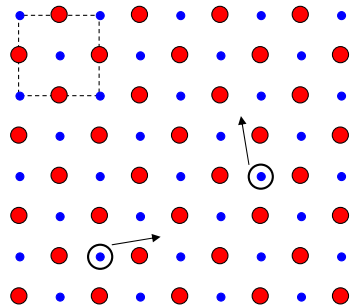


Scottky defect



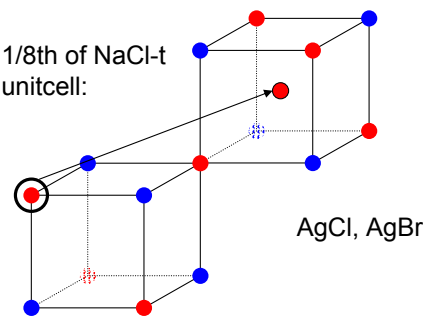
# Frenkel defects

The (100) for NaCl-type structure



Cation Frenkel defect  
 Anion Frenkel defect

1/8th of NaCl-t  
 unitcell:



AgCl, AgBr

Movement from a normal to an interstitial position

$$n_f \approx (NN^*)^{1/2} \exp\left(\frac{-\Delta H_F}{2RT}\right)$$

Example: AgCl  
 NaCl-type structure

Ag in octahedra holes  
 of ccp Cl

Ag in tetrahedra holes  
 of ccp Cl

**Table 3.4** The formation enthalpy of Frenkel defects,  $\Delta H_F$ , in some compounds of formula  $MX$  and  $MX_2$

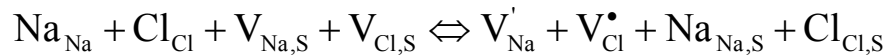
Compound <sup>a</sup>	$\Delta H_F/J$	Compound <sup>b</sup>	$\Delta H_F/J$
AgCl	$2.32 \times 10^{-19}$	CuF <sub>2</sub>	$4.34 \times 10^{-19}$
AgBr	$1.81 \times 10^{-19}$	SrF <sub>2</sub>	$2.78 \times 10^{-19}$
$\beta$ -AgI	$0.96 \times 10^{-19}$	BaF <sub>2</sub>	$3.06 \times 10^{-19}$

<sup>a</sup>Frenkel defects on the cation sublattice of halite structure compounds.  
<sup>b</sup>Frenkel defects on the anion sublattice of fluorite structure compounds.

The heat of formation for a Frenkel defect:  $\Delta H_F$

# Thermodynamics

Scottky defect



$$K = \frac{\overset{\text{Constant}}{[\text{V}'_{\text{Na}}][\text{V}^{\bullet}_{\text{Cl}}][\text{Na}_{\text{Na,S}}][\text{Cl}_{\text{Cl,S}}]}}{\underset{\text{Constant}}{[\text{Na}_{\text{Na}}][\text{Cl}_{\text{Cl}}][\text{V}_{\text{Na,S}}][\text{V}_{\text{Cl,S}}]}} \rightarrow K = \frac{[\text{V}'_{\text{Na}}][\text{V}^{\bullet}_{\text{Cl}}]}{[\text{Na}_{\text{Na}}][\text{Cl}_{\text{Cl}}]}$$

$$K = \frac{(N_V)^2}{(N - N_V)^2}$$

$$N \cong N - N_V \rightarrow N_V \cong N\sqrt{K}$$

$$K \propto e^{(-\Delta G/RT)}$$

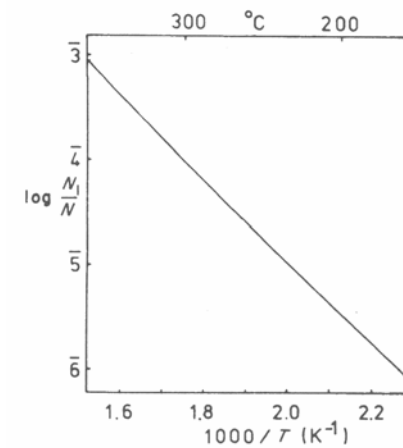
$$K \propto e^{(-\Delta H/RT)}e^{(\Delta S/R)}$$

$$= Ce^{(-\Delta H/RT)}$$

$$N_V = N Ce^{(-\Delta H/2RT)}$$

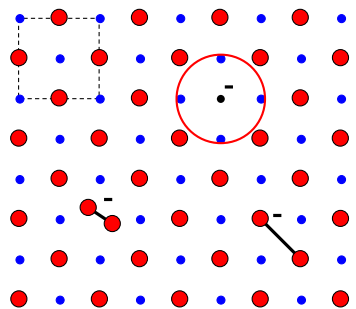
# Thermodynamics

$$\log_{10}(N_i/N) = \log_{10}(\text{constant}) - (\Delta H/2RT)\log_{10}e$$



# Colour centre, (alkaline halides)

The (100) for NaCl-type structure

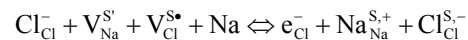


Electron in a box, paramagnetic moment

F-centre  
Colour from F-centre:  
Dependant on host lattice

LiCl	3.1 eV
NaCl	2.7 eV
KCl	2.2 eV
RbCl	2.0 eV

H-centre      V-centre



Colour:

MX-perfect

MX ordinary defects (intrinsic)

Chemical impurities (extrinsic)

Radiation

Treatment with extra M

colourless

colourless

colour

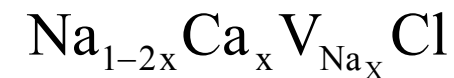
colour

colour

# Aliovalent substitution

Extrinsic defects arise on doping pure crystals with aliovalent impurities

NaCl may be doped with  $\text{CaCl}_2$  to give:



# Defect clustering

Defects have effective charge

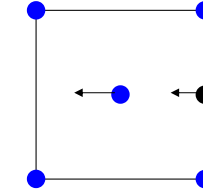
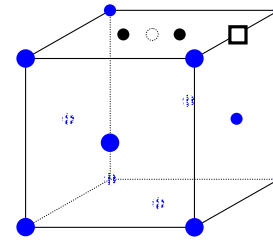
Defects do perturb the host structure

Some interactions "neutral pairs"

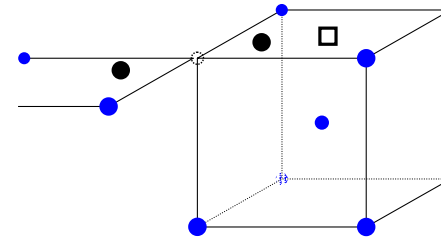
More interactions "defect clustering"

Loads of interaction Stable phases with ordered distribution of defects

eks. Pt. fcc (F) Z=4



Introduction of an interstitial atom (a defect) creates two defects.



eks.  $\alpha$ -Fe. bcc (I) Z=2

# Wüstite

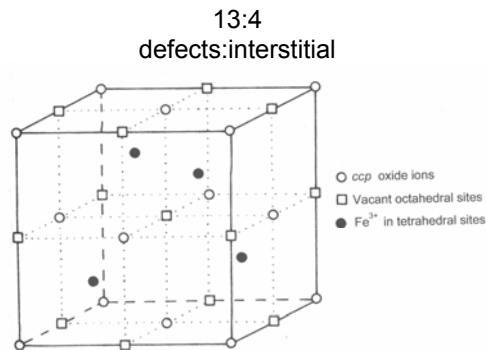
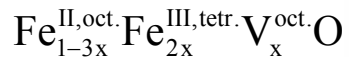
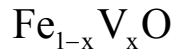
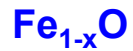


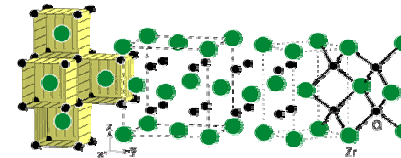
Fig. 5.9 Koch cluster postulated to exist in wüstite,  $Fe_{1-x}O$

- 4:1
- 8:3
- 13:4
- 16:5

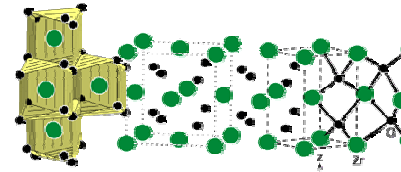
Structur element in  $Fe_3O_4$

Ordered on a small scale,  
no long-range order  
(SRO vs. LRO)

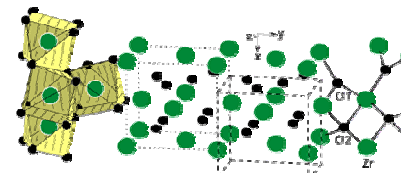
# ZrO2



cubic  $ZrO_2$



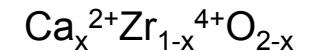
tetragonal  $ZrO_2$



monoclinic  $ZrO_2$

2300 °C

Addition of Ca, Y, Ce or similar may stabilise the high temperature forms to lower temperatures.



1100 °C



Defects are ascribed effective charges

+ ↓ -

Interactions  
"neutral pair"



Interactions with other pairs  
defect clusters

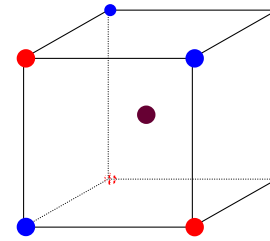


Smaller areas with ordered defect structures  
Predecessor for stable phases with ordering of defects and atoms

## Dominating effect

Ionic compounds of NaCl-type structure:  $MX$

Regard 1/8 th of the unit cell:



If Frenkel defect, then filled tetrahedra position.



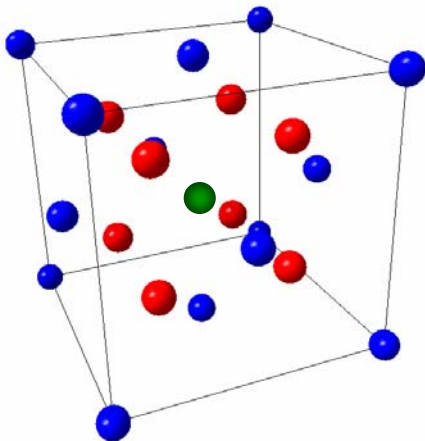
Undesireable



Schottky defects instead

## Dominating effect

Ionic compounds of  $CaF_2$ -type structure:  $MX_2$



Frenkel

Compound	Str. type	Dominating defect
Alkali-halides	NaCl – t. str.	Schottky
Earth alkali halides	NaCl – t. str.	Schottky
AgCl, AgBr	NaCl – t. str.	Cation Frenkel
Cs-halides, TlCl	CsCl – t. str.	Schottky
BeO	würtsite	Schottky
Earthalkali-fluorides, $CeO_2$ , $ThO_2$	fluorite – t. str.	Anion Frenkel

# Solutions

## Solution

**Intrinsic defects** associated with stoichiometric and pure crystals

**Extrinsic defects** associated with dopants or impurities (0.1 – 1 %)

What about dopants > 1% ???



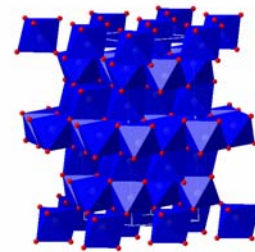
**Solid solution**

**Substitutional solid solution**

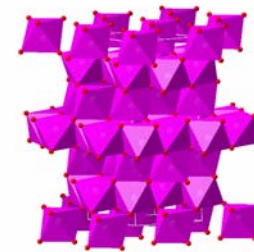
**Interstitial solid solution**

**Aliovalent substitution**

## Substitutional solid solution



$\text{Al}_2\text{O}_3$  corundum



$(\text{Al}_{2-x}\text{Cr}_x)\text{O}_3$  corundum



$\text{Cr}_2\text{O}_3$  corundum

**$\text{Al}^{3+}$**   
covalent radius 1.18 Å

**$\text{Cr}^{3+}$**   
covalent radius 1.18 Å